



## Responsible AI Request for Information (TW-21-004) Frequently Asked Questions

**Where:** <https://tradewindfaq.org/notices/tw-21-004/>

**When:** After the info session on Tuesday, augmented after the info session on Thursday

### ***Why are you doing an RFI for Responsible AI?***

This RFI is part of a market research and analysis initiative, and the information provided by respondents will aid in the Department's understanding of the current commercial and academic responsible AI landscape, relevant applied research, and subject matter expertise. This RFI is a notice only and it does not commit IN3 or the DoD to any contract for product or service. The findings are likely to inform future solicitations by the Department.

By announcing the Request for Information on Responsible AI Expertise, Products, Services, Solutions, and Best Practices on June 22, the JAIC anticipates that this process will help to:

1. Inform future acquisition and advisory services;
2. Gather knowledge for planning and staffing;
3. Identify solutions to operationalize the Ethics Principles;
4. Identify subject matter experts who can provide expertise for Department-wide efforts or for specific military Service and/or Component needs.

This is a great opportunity for Tradewind to learn about organizations and individuals who might not have currently been part of the Tradewind ecosystem.

### ***What type of information are you looking for?***

We are looking for expertise, products, services, solutions, and best practices currently available or forthcoming to support the implementation of RAI across the Department. Aligned with departmental implementation goals, we are seeking information in the following areas:

1. Governance and assessment
2. Workforce training and management
3. Products and services across development or acquisition lifecycles
4. Research and development
5. Confidence and security building measures
6. Testing and evaluation/verification and validation;
7. Documentation/information management;
8. Risk management;

9. Military ethics and safety;
10. Other relevant areas of expertise.

Please read carefully through the RFI document to ensure you are submitting the appropriate materials, including the standard cover page. All submissions must be submitted to [Tradewind.submissions@in3indiana.com](mailto:Tradewind.submissions@in3indiana.com).

***When does the RFI close?***

The RFI officially closes on July 14, 23:59 PST, however, the DoD may seek further advice on responsible AI as their implementation plans evolve.

***How does this initiative meet JAIC's priorities?***

- As the DoD embraces AI across a broad spectrum of use, it is committed to ensuring that all of the Department's AI and AI-enabled autonomous system capabilities are acquired, designed, developed, deployed, and used in a responsible manner. For this objective to be realized, foundational procurement protocols will be developed and piloted through JAIC's Tradewind Initiative, a partnership with IN3. Tradewind is an AI procurement marketplace focused on developing innovative processes, guidelines, infrastructure, and assessments for the evaluation and procurement of AI capabilities in the Department of Defense.
- "To ensure our Nation's values are embedded in the AI capabilities, as the Department develops, procures, and deploys AI, these principles will be implemented not only in the technology, but also in enterprise operating structures and organizational culture."

***Who can provide a response to the RFI?***

The RFI is open to both organizations and individuals with RAI subject matter expertise and experience from the public, private, not-for-profit, or academic sectors.

***Are submissions to the RFI compensated?***

The RFI acts as a market research tool for Tradewind to assess the landscape of Responsible AI in practice and is not paid. Your submissions may lead to future contract work with Tradewind as we procure Responsible AI for the DoD.

***What is Tradewind?***

"Tradewind" provides Other Transaction Authority (OTA) agreements and a supporting online business process to work with non-traditional companies and guide DoD through the AI-tailored agile delivery process, from ideation to transition.

"Tradewind" is designed to:

- 1.Enable the DoD to quickly, repeatedly, and ethically identify, acquire, and operationalize critical AI technologies;
- 2.Build more collaborative, transparent relationships between DoD, academia, and traditional and non-traditional companies;
- 3.Accelerate the delivery of AI capabilities to the Warfighter by providing Other Transaction Authority (OTA) agreements and a supporting online business process to work with non-traditional companies and guide DoD through the AI-tailored agile delivery process, from ideation to transition.

“Tradewind” is designed to:

Enable the DoD to quickly, repeatedly, and ethically identify, acquire, and operationalize critical AI technologies;

Build more collaborative, transparent relationships between DoD, academia, and traditional and non-traditional companies;

Accelerate the delivery of AI capabilities to the Warfighter by providing DoD with rapid, agile, and ethical AI acquisition.

Providing DoD with rapid, agile, and ethical AI acquisition.

Tradewind has five key objectives:

1. Maximum Outreach and Fairness
2. Fast and Flexible
3. Emphasize Transition and Adoption
4. Integrate and Implement Responsible AI
5. Automate, Network, Learn, and Iterate

<https://tradewindfaq.org/>

**Are you only looking for emerging AI technologies or already created and adopted technologies?**

There will be a need for both.

**Are non-DoD/IC federal customers using the JAIC?**

There are plans for it, but not currently.

**It seems there is a chain of NGO's behind TW. Can you explain the ecosystem?**

Competition for 501(c)3's to contract for Tradewind, IN3 won the OTA. Tradewind is a joint effort between the Government and IN3.

**Is the RFI open to response to those entities who have yet to provide RAI implementations? For example, we have ethical AI training and certification offerings that would be pertinent but where we have yet to deliver a solution directly to the DoD.**

Yes. Please also make sure you register for the Tradewind ecosystem by submitting the registration form at <http://tradewindfaq.org/registration> too!

**Are we currently integrating WEF's environmental, social, and governance guidelines into our principals?**

We are attuned to the guidelines and they are something that we are considering going forward.

**Are you considering the overlap between warfighter trust and requirements validation?**

Yes, that is something that we are working toward in our implementation strategy. We'll move forward in making sure that they are formalized requirements at the Department's workflows, practices and policies.

**Can the submission include case studies or do they have to be related to actual implementations?**

Case studies which can be implementable are great.

**The formatting criteria stipulates that the whitepaper should not exceed 10 pages, be single-spaced and contain a 12-point font. What are the specs for tables of data? 12 point, as well?**

A: Yes, we ask for no less than 12-point font.

**If we want to bring in teaming partners, should they submit their own?**

It's up to you: if you can fit it into 10 pages, you can do it together or separate.

**Are any participating members sponsoring or want to partner on a submission? Reason I ask: Many of the folks I know that would love to submit are across many organizations or independents.**

We can work with you to send such a request across the Tradewind ecosystem. This way, we can direct them to an internal POC of your choosing instead of creating a basket internal to Tradewind.

**Is this a separate effort from the BOA that was issued a couple months back?**

Yes, it is separate.

**We have multiple ways we want to work with the JAIC - should we introduce them all in the RFI?**

Yes, the cover letter form allows you to respond to multiple categories at one time. We recognize that they might overlap.

**Can we email questions after this meeting? Is there a deadline for this?**

Yes you may. As an RFI there is no cut off for questions. Please note that our responses will be made available to the entire group, and that your personally identifying information will not be shared. You can send questions to [tradewind.submissions@in3indiana.com](mailto:tradewind.submissions@in3indiana.com)

**Is a 12-point font required for graphics/illustrations?**

No, but please make it as readable as possible and no lower than 12-point font.

**Will the RFI submissions lead to referrals within the JAIC?**

The RFI questions act as market research of the landscape from the JAIC. Future announcements for other projects will come out, and in those there may be opportunities for referrals.

**Are either a white paper or a slide presentation required for this, or are both required for submission?**

Responses can be in the form of white papers or presentations, or both, whichever is the easiest way to present your information.